# Non-Asymptotic Analysis of Single-Receiver Channels with Limited Feedback

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5G and newer generation networks offer three new service categories

- Ultra-reliable low-latency communication
	- ▶ Delay-sensitive, mission critical applications (tele-surgery, factory automation)
- Enhanced mobile broadband
	- $\triangleright$  High bandwidth and low power consumption requirements (HD video streaming, virtual reality)
- Massive machine-type communication
	- ▶ Massive and unknown number of communicating devices with low power consumption (Internet of Things, smart cities, smart grids)

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Goal: Design coding schemes that are suitable for applications like these, analyze their performance, and understand the fundamental limits from an information-theoretic perspective.

#### Applications with Stringent Requirements



#### 1 ms latency  $\approx n = 100 - 200$

M. Shirvanimoghaddam et al., "Short Block-Length Codes for Ultra-Reliable Low Latency Communications", IEEE Commun. Mag., Feb. 2019.

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$$
W \in [M] \longrightarrow \begin{array}{|l|l|} X^n & (x,y,P_{Y|X}) & Y^n \\ \hline & & \end{array} \begin{array}{|l|} \multicolumn{3}{c}{} & Y^n & \multicolumn{3}{c}{} & \multicolumn{3}{c}
$$

- $M =$  codebook size,  $n =$  blocklength,  $\epsilon =$  average error probability,  $[M] \triangleq \{1, ..., M\}$ .
- Fundamental limit:  $M^*(n, \epsilon) =$  maximum achievable codebook size compatible with  $n$  and  $\epsilon$
- Goal: Compute  $M^*(n, \epsilon)$  and find the optimal codes that achieve it
- Problem: Exact computation of  $M^*(n, \epsilon)$  is intractable
- Solution: Derive non-asymptotic bounds

$$
\underline{M}^*(n,\epsilon) \leq M^*(n,\epsilon) \leq \overline{M}^*(n,\epsilon)
$$

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• Example of non-asymptotic bound [Polyanskiy 10', random coding union bound]:

 $\underline{M}^*(n, \epsilon) = \max \{ M : \mathbb{E} \left[ \min \{ 1, (M-1) \mathbb{P} \left[ i(\bar{X}^n; Y^n) \geq i(X^n; Y^n) | X^n, Y^n \right] \} \right] \leq \epsilon \}$ 

- The "solution" is an optimization problem
	- ▶ it does not make clear how  $M^*(n, \epsilon)$  varies with  $(P_{Y|X}, n, \epsilon)$
	- $\blacktriangleright$  even approximately computing it is nontrivial
- We are interested in asymptotic expansions (Taylor-like expansions of  $\frac{\log M^*(n,\epsilon)}{n}$  around capacity) that
	- ▶ are tight for  $(P_{Y|X}, n, \epsilon)$  of interest
	- ▶ inform about how  $M^*(n, \epsilon)$  varies with  $(P_{Y|X}, n, \epsilon)$
	- $\triangleright$  cover a variety of channels
- Analyzing  $M^*(n, \epsilon)$  as  $n \to \infty$  is useful!

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#### Error Probability Regimes in Channel Coding

As  $n \to \infty$ , we need to specify how  $\epsilon$  is related to n

• Central limit theorem (CLT) regime:

 $\epsilon \in (0, 1)$  $\log M^*(n, \epsilon) = nC - O(\sqrt{n})$  $C =$  capacity

• Moderate deviations (MD) regime

$$
\epsilon \to 0 \text{ and } -\frac{1}{n} \log \epsilon \to 0 \qquad \qquad \log M^*(n, \epsilon) = nC - g(n), \quad \sqrt{n} \ll g(n) \ll n
$$

• Large deviations (LD) regime:

$$
\epsilon \approx e^{-nE(R)}
$$
  

$$
\log M^*(n, \epsilon) = nR, \quad R < C
$$

MD regime is relevant to ultra-reliable short-blocklength regime where both  $\epsilon$  and n are small. CLT and LD regimes fail to capture this regime.

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right\}$  ,  $\left\{ \begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right\}$  ,  $\left\{ \begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right\}$ 

• [Strassen 62'] and [Polyanskiy et al. 10'] show

$$
\log M^*(n, \epsilon) = \underbrace{nC - \sqrt{nV}Q^{-1}(\epsilon)} + O(\log n)
$$

Gaussian approximation

 $C =$  capacity

 $V =$  dispersion (a quantity that depends only on  $P_{Y|X}$ )

 $Q^{-1}(\,\cdot\,) =$  inverse of  $Q(\,\cdot\,)$ , complementary cumulative distribution function of  $\mathcal{N}(0, 1)$ 



## How do asymptotic expansions for  $\log M^*$  perform?



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Non-Gaussianity:

$$
\zeta(n,\epsilon) \triangleq \log M^*(n,\epsilon) - \underbrace{\left(nC - \sqrt{nV}Q^{-1}(\epsilon)\right)}_{\text{Gaussian approximation}}
$$

**0 CLT**: [Polyanskiy et al. 10'] (achievability) and [Tomamichel-Tan 13'] (converse): for nonsingular channels

excludes a family of erasure channels

$$
\zeta(n,\epsilon) = \frac{1}{2}\log n + O(1)
$$

**2 CLT**: [Moulin 17']: for nonsingular channels with a regularity condition (excludes the BSC)

$$
\zeta(n,\epsilon) \ge \frac{1}{2}\log n + \underline{S}Q^{-1}(\epsilon)^2 + \underline{B} + o(1)
$$
  

$$
\zeta(n,\epsilon) \le \frac{1}{2}\log n + \overline{S}Q^{-1}(\epsilon)^2 + \overline{B} + o(1)
$$

where  $S, \overline{S}, B$ , and  $\overline{B}$  depend only on  $P_{Y|X}$ 

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• MD: [Altuğ and Wagner 14']:

$$
\zeta(n,\epsilon_n) = o(\sqrt{n}Q^{-1}(\epsilon_n))
$$

Gaussian approximation (without  $O(\log n)$  term) is valid in the MD regime

• Question: How does the non-Gaussianity  $\zeta(n, \epsilon_n)$  scale in the MD regime?

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• A new operational quantity: Channel skewness

$$
S \triangleq \lim_{\epsilon \to 0} \liminf_{n \to \infty} \frac{\zeta(n, \epsilon) - \frac{1}{2} \log n}{(Q^{-1}(\epsilon))^2}
$$

We are expecting:

$$
\log M^{*}(n, \epsilon_{n}) = nC - \sqrt{nV}Q^{-1}(\epsilon_{n}) + \frac{1}{2}\log n + SQ^{-1}(\epsilon_{n})^{2} + o(Q^{-1}(\epsilon_{n})^{2})
$$

• Information density:  $\imath(X;Y) \triangleq \log \frac{P_{Y|X}(Y|X)}{P_Y(Y)}$  under some fixed input distribution  $P_X$ 

$$
\begin{array}{ll} C &= \mathbb{E}\left[\imath(X;Y)\right] \\ V &= \text{Var}\left[\imath(X;Y)\right] \end{array} \Big\} \text{ under capacity-achieving } P_X
$$

 $S =$  governed by the third central moment of information density

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 $\epsilon_n$  in MD regime:  $-\frac{1}{n}\log \epsilon_n \to 0$ ,  $\epsilon_n \to 0$ 

## Theorem 1

MD: for nonsingular discrete memoryless point-to-point channels

$$
\zeta(n, \epsilon_n) \ge \frac{1}{2} \log n + \underline{S} Q^{-1} (\epsilon_n)^2 + O\left(\frac{Q^{-1} (\epsilon_n)^3}{\sqrt{n}}\right) + O(1)
$$
  

$$
\zeta(n, \epsilon_n) \le \frac{1}{2} \log n + \overline{S} Q^{-1} (\epsilon_n)^2 + O\left(\frac{Q^{-1} (\epsilon_n)^3}{\sqrt{n}}\right) + O(1)
$$

- $S$  and  $\overline{S}$  are the same as Moulin's bounds, and our results apply to a wider class of channels
- S and  $\overline{S}$  are easy-to-compute constants that depend only on the channel  $P_{Y|X}$  and are related to  $\imath(X;Y)$
- S and  $\overline{S}$  give lower and upper bounds for channel skewness S

 $\bullet\;\big|\frac12\log n+O(1)$  is no longer accurate because as  $n\to\infty$ ,  $\epsilon_n\to 0$  and  $Q^{-1}(\epsilon_n)^2\to\infty$ 

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• Lower bound is achieved using i.i.d. random codewords drawn from a distribution  $P_X$  that is shifted from the capacity-achieving  $P_X^*$ 

$$
P_X = P_X^* + \frac{Q^{-1}(\epsilon_n)}{\sqrt{n}} \mathbf{h}
$$

 $h = a$  vector that is a function of  $P_{Y|X}$ 

• For Cover-Thomas symmetric channels (all rows (resp. columns) are permutation of each other), e.g., BSC

$$
S = \overline{S} = \underline{S} = \frac{\text{Sk}(P_X^*)\sqrt{V}}{6} + \frac{1}{2}
$$
  
\n
$$
P_X = P_X^*
$$
  
\nSk(P\_X^\*) = skewness of  $\iota(X;Y)$  at  $P_X^*$ 

• For the Gaussian channel, we compute the channel skewness  $S(P)$  exactly as a function of power P

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## Comparison of Our Approximation to Existing Work



• We relax the random coding union bound from [Polyanskiy et al. 10'] as

$$
P_{\text{error}} \leq \mathbb{E}\left[\min\{1, (M-1)\mathbb{P}\left[i(\bar{X}^n; Y^n) \geq i(X^n; Y^n)|X^n, Y^n\right]\}\right] \leq \mathbb{P}\left[i(X^n; Y^n) < \tau\right] + (M-1)\mathbb{P}\left[i(\bar{X}^n; Y^n) \geq i(X^n; Y^n) \geq \tau\right]
$$

where  $\bar{X}^n$  is a sample from the codebook that is independent of  $Y^n.$ 

- $\blacktriangleright$  Relaxation of the ML decoder (information density threshold rule + ML rule)
- ▶ Advantageous because we can analyze each probability term separately

 $A \square$   $B$   $A$   $B$   $B$   $A$   $E$   $B$ 

• The optimal allocation of  $\epsilon_n$  (equivalently, the optimal  $\tau$ ) is:

$$
\underbrace{\mathbb{P}\left[i(X^n;Y^n) < \tau\right]}_{\epsilon_n - \epsilon_n} + \underbrace{(M-1)\mathbb{P}\left[i(\bar{X}^n;Y^n) \geq i(X^n;Y^n) \geq \tau\right]}_{\epsilon_n \frac{Q^{-1}(\epsilon_n)}{\sqrt{nV(P_X)}}}
$$

•  $\mathbb{P}\left[\imath(X^{n};Y^{n})<\tau\right]$ : MD regime of probability theory  $\mathbb{P}\left[\imath(\bar{X}^n;Y^n)\geq \imath(X^n;Y^n)\geq \tau\right]$ : LD regime of probability theory

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#### Theorem 2 (Petrov Expansion)

Let  $X_1,\ldots,X_n$  be i.i.d.,  $\mathbb{E}\left[X_1\right]=0$  and  $\text{Var}\left[X\right]=\sigma^2$ . Let  $x=o(\sqrt{n})$ , and  $x\geq 0$ . Under Cramér's condition, i.e.,  $\mathbb{E}\left[e^{tX}\right]<\infty$  in the neighborhood of zero,

$$
\mathbb{P}\left[\sum_{i=1}^{n} X_i \ge \sqrt{n\sigma^2} x\right] = Q(x) \exp\left(\frac{x^3 \text{Sk}(X_1)}{6\sqrt{n}} + O\left(\frac{x^4}{n}\right)\right) \left(1 + O\left(\frac{1+x}{\sqrt{n}}\right)\right)
$$
  
where  $\text{Sk}(X_1) \triangleq \frac{\mathbb{E}[X_1^3]}{\sigma^3}$ .

- It is an asymptotic equality
- It has a multiplicative correction term to Gaussian approximation  $Q(x)$
- Skewness  $Sk(X_1)$  dominates the correction term

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 $\bullet \; \mathbb{P} \left[ \imath (X^{n};Y^{n}) < \tau \right]$ : Apply Petrov expansion  $\mathbb{P}\left[\imath(\bar{X}^n;Y^n)\geq \imath(X^n;Y^n)\geq \tau\right]$ : Apply strong large deviations theorem to get

$$
\log M^* \ge nI(P_X) - \sqrt{nV(P_X)}Q^{-1}(\epsilon_n) + \frac{1}{2}\log n + Q^{-1}(\epsilon_n)^2 \left( \frac{\text{Sk}(P_X)\sqrt{V(P_X)}}{6} + \frac{1 - \eta(P_X)}{2(1 + \eta(P_X))} \right) + O\left( \frac{Q^{-1}(\epsilon_n)^3}{\sqrt{n}} \right) + O(1)
$$

• Take Taylor series expansions around the capacity-achieving  $P_X^*$ .

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**1** In the MD regime of channel coding:

- ▶ We derive easy-to-compute lower and upper bounds to the non-Gaussianity
- $\triangleright$  For symmetric channels, the bounds match each other, and channel skewness S is governed by the skewness of information density  $\imath(X;Y)$
- Our MD approximation is more accurate than the CLT approximation of Polyanskiy, especially for lower error probabilities (e.g.,  $\epsilon \leq 10^{-6}$ )
- $\bullet$  For some non-symmetric channels, capacity-achieving distribution does not achieve S. <sup>3</sup> We compute the skewness of the Gaussian channel.

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Variable-length: Decoding occurs at a random time depending on channel outputs

Stop-feedback: 1 bit feedback whenever a decoding attempt is made

Higher reliability: Earlier decoding when the noise is low, later decoding when the noise is high

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• VLSF code with  $L = \infty$  decoding times [Polyanskiy et al. 11'], [Burnashev 76']: Impractical



- ▶ Transmitter constantly listens to the feedback signal  $\implies$  High power consumption
- Half duplex devices cannot transmit and receive signals at the same time  $\implies$  Lowers achievable rates due to round trip delay
- ▶ Practical codes such has HARQ schemes employ incremental redundancy which has sporadic feedback
- VLSF code with constant  $L$  decoding times (this work)



Feedback is sporadic

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#### No-Feedback Codes vs. VLSF Codes



 $L = #$  of available decoding times

What rate can we achieve with several decoding times?

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**Encoding function**  $f_t : [M] \rightarrow \mathcal{X}$ :

$$
X_t = \mathsf{f}_t(W), \quad t \in \mathbb{N}_+
$$

where  $W \sim \text{Unif } ([M])$ .

**Decoding function**  $\mathsf{g}_t \colon \mathcal{Y}^t \to [M]$ : provides the estimate of  $W$  at time  $t \in \{n_1, n_2, \ldots, n_L\}.$ 

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 ${\sf Stopping\ time}\colon\tau\in\{n_i\}_{i=1}^L$  adapted to the filtration generated by  $\{Y^{n_i}\}_{i=1}^L$ Decoded message:  $\hat{W} = \mathsf{g}_{\tau}(Y^{\tau})$ 

Goal: Find

$$
\begin{aligned}\n M^*(N, L, \epsilon) & \triangleq \max_{n_1, \dots, n_L} & M \\
 \text{s.t.} & \mathbb{E}[\tau] \le N \\
 & \mathbb{P}\left[\hat{W} \neq W\right] \le \epsilon\n \end{aligned}
$$

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 $L = \infty$ :

- [Burnashev 76']: error exponent  $\lim_{\epsilon\to 0}\frac{-\log\epsilon}{\mathbb E[\tau]}$  for the discrete memoryless point-to-point channel as  $N\to\infty$
- [Polyanskiy et al. 11']: VLSF codes in the CLT regime

$$
\frac{NC}{1-\epsilon} - \log N + O(1) \le \log M^*(N, \infty, \epsilon) \le \frac{NC}{1-\epsilon} + O(1)
$$

$$
\log M^*(N, 1, \epsilon) = NC - \sqrt{NV}Q^{-1}(\epsilon) + O(\log N)
$$

Constant L:

- [Vakilinia et al. 16']: VLSF codes with  $L$  decoding times over the binary-input Gaussian channel
- They estimate the statistics of  $\tau$  through simulation.
- They do not solve the problem analytically  $\implies$  no second-order analysis

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## Theorem 3 (Achievability)

Fix  $L > 2$ ,  $\epsilon \in (0, 1)$ . For discrete memoryless point-to-point channels, it holds that

$$
\log M^*(N,L,\epsilon) \geq \frac{N\,C}{1-\epsilon} - \sqrt{N\log_{(L-1)}(N)\frac{V}{1-\epsilon}} + o(\sqrt{N})
$$

$$
\log_{(L)}(\, \cdot \, ) \triangleq \overbrace{\log(\log(\ldots(\log(\, \cdot \,))))}^{L \text{ times}}
$$

- Proof analyzes a non-asymptotic bound
- By using refined probability tools and KKT conditions, we approximately optimize the non-asymptotic bound with respect to the choices of  $n_1, \ldots, n_L$

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Figure 1: BSC(0.11),  $\epsilon = 10^{-3}$ 

Diminishing performance improvement as  $L$  increases!

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#### Sequential Hypothesis Tests (SHTs)

 $\bullet$  Consider two hypotheses for the distribution of  $X^{\infty}$ 

 $H_0: X^{\infty} \sim P_0^{\infty}$  $H_1: X^{\infty} \sim P_1^{\infty}$ 

• At each available decoding time, an SHT chooses between

{Decide  $H_0$ , Decide  $H_1$ , Take new sample(s)}

• Wald's theorem: the optimal SHT is a two-sided threshold test that uses log-likelihood ratio (sequential version of Neyman-Pearson lemma)



• Construct the SHT

 $H_0: (X, Y) \sim P_X P_{Y|X} \Longrightarrow$  stop and decode  $H_1$  :  $(X, Y) \sim P_X P_Y \Longrightarrow$  eliminate from decoding

where  $P_X$  is capacity-achieving and run it for all M messages separately

- At time  $n_1 = 0$ :
	- ▶ With probability  $p = \epsilon \frac{1}{\sqrt{N \log N}}$ , declare  $H_1$  for all  $m \in [M]$
	- ▶ With probability  $1 p$ , pass  $n_1 = 0$  without decoding
- If  $n_1 = 0$  is passed, then particularize the SHT to information-density threshold test:

$$
\tau_m = \min\{n \in \{n_2, \ldots, n_L\} : \iota(X^n(m); Y^n) \ge \gamma\}
$$



• Analyzing this scheme gives us a non-asymptotic bound. Then, we optimize over  $n_1, \ldots, n_L$  using KKT conditions.

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Maximal power constraint:

$$
\|\mathsf{f}(m)^{n_{\ell}}\|_{2}^{2} \le n_{\ell}P \qquad \forall \ell \in [L], m \in [M]
$$

#### Theorem 4

Fix  $L > 2$ ,  $P > 0$ , and  $\epsilon \in (0, 1)$ .  $\log M^*_{\text{max}}\left(N,L,\epsilon,P\right) \geq \frac{NC(P)}{1-\epsilon}$  $\frac{NC(P)}{1-\epsilon}-\sqrt{N\log_{(L-1)}(N)\frac{V(P)}{1-\epsilon}}$  $\frac{1-\epsilon}{1-\epsilon}+o($ √ N) where  $C(P) = \frac{1}{2}\log(1+P), \quad V(P) = \frac{P(P+2)}{2(P+1)^2}$ 

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#### Encoder Design for the Gaussian Channel

• Encoder: we generate codewords uniformly at random over a restricted subset on  $n<sub>L</sub>$ -dimensional sphere



Figure 2:  $L = 2$ ,  $n_1 = 2, n_2 - n_1 = 1$ 

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#### Discrete Memoryless Multiple Access Channel (MAC)



- ${n_1, \ldots, n_L}$  = the set of available decoding times
- $\tau \in \{n_1, \ldots, n_L\}$  = stopping time

$$
\bullet\ X_{[K]} \triangleq X_1,\ldots,X_K
$$

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$$
\begin{array}{ll}\n\mathsf{Id} & \left| \max_{n_1, \dots, n_L} & \sum_{k=1}^K \log M_k \right| \\
\qquad \qquad \text{s.t.} & \mathbb{E}\left[\tau\right] \le N \\
& \qquad \qquad \mathbb{P}\left[ (\hat{W}_1, \dots, \hat{W}_K) \ne (W_1, \dots, W_K) \right] \le \epsilon\n\end{array}
$$

## Theorem 5 (Achievability)

There exists a VLSF code with L decoding times for the discrete memoryless MAC satisfying

$$
\sum_{k=1}^{K} \log M_k = \frac{N I_K}{1 - \epsilon} - \sqrt{N \log_{(L-1)}(N) \frac{V_K}{1 - \epsilon}} + o(\sqrt{N})
$$

 $I_K = I(X_1, \ldots, X_K; Y), V_K = \text{Var}\left[ i(X_1, \ldots, X_K; Y) \right]$ 

• Proof: We employ a single information density threshold decoder  $\imath(X^{n_\ell}_{[K]}(m_{[K]}); Y^{n_\ell}) \ge \gamma.$ 

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• Second-order achievability bounds for sparse VLSF codes over point-to-point and multiple access channels

• Optimizing the placements of  $L$  decoding times is critical to achieve high rates

• A handful of decoding times is almost as good as decoding after every symbol For the BSC(0.11) at  $N = 1000$  and  $\epsilon = 10^{-3}$ :

▶  $L = 4$  achieves 97.0% of the rate achieved by  $L = \infty$ 

▶  $L = 1$  achieves 84.2% of the rate achieved by  $L = \infty$ 

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#### Rateless RAC (Random Access Channel) Communication that Uses Stop-Feedback



- RAC: A family of MACs up to K transmitters, any  $a \leq K$  transmitters can be active
- Compound channel model: No probability of being active is assigned to transmitters
- Agnostic channel model: Nobody knows who are active
- We extend VLSF codes to the RAC
- Available decoding times  $n_{a,1}, \ldots, n_{a,L}$  for decoding  $a \in [K]$  messages

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• Presented in the candidacy talk.

## Theorem 6 (Achievability)

Fix an input distribution  $P_X$ . For a RAC satisfying some mild symmetry conditions, there exists a RAC code with K transmitters provided that

$$
a \log M \le N_a I_a - \sqrt{N_a V_a} Q^{-1}(\epsilon_a) - \frac{1}{2} \log N_a + O(1) \qquad \forall \ a \in [K]
$$

[Scarlett et al. 15]) for the MAC in operation. We achieve the same first- and second-order terms as the best-known codes (e.g., [Tan-Kosut 14'],

• Proof: At times  $n_{1,1}, \ldots, n_{K,1}$ , we use information density threshold rule

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• Presented in the candidacy talk.

#### Theorem 7

There exists a RAC code for the Gaussian RAC with  $K$  transmitters provided that

$$
a \log M \le N_a C(aP) - \sqrt{N_a V_a(P)} Q^{-1}(\epsilon_a) + \frac{1}{2} \log N_a + O(1) \qquad \forall \ a \in [K]
$$

where

$$
C(P) = \frac{1}{2}\log(1+P), \quad V_a(P) = \frac{(2a^2 - a)P^2 + 2aP}{2(aP + 1)^2}
$$

• Proof analyzes the error probability of the random code where codewords are generated uniformly on the restricted power sphere

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#### <span id="page-44-0"></span>Theorem 8 (Achievability)

Fix K,  $L > 2$ ,  $\epsilon \in (0, 1)$ , and a distribution  $Px$ . Under some mild symmetry conditions, there exists a VLSF code for the discrete memoryless RAC with L decoding times for each  $a \in [K]$  provided that

$$
a \log M \le \frac{N_a I_a}{1 - \epsilon_a} - \sqrt{N_a \log_{(L-1)}(N_a) \frac{V_a}{1 - \epsilon_a}} + o(\sqrt{N_a}) \qquad \forall \ a \in [K]
$$

We achieve the same first- and second-order terms as the MAC in operation

**Decoder:** At time  $n_0$ , the decoder applies a multiple hypothesis test to estimate  $\#$  of active transmitters  $a$ If  $\hat{a}$  is decoder's estimate, decoder uses a VLSF code for the  $\hat{a}$ -MAC with decoding times  $\{n_{\hat{a}},\ldots,n_{\hat{a}},\ldots\}$ 

 $\rightarrow$   $\equiv$   $\rightarrow$ 

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## <span id="page-45-0"></span>**[Motivation](#page-2-0)**

## **[Dissertation](#page-5-0)**

- [Moderate Deviations Analysis of Point-to-Point Channels](#page-6-0)
- [Variable-Length Sparse Stop-Feedback Codes](#page-23-0)
- [Random Access Channels with Sparse Stop-Feedback](#page-39-0)

## <sup>3</sup> [Conclusion and Future Directions](#page-45-0)

• In the low-latency, high-reliability regime, our MD approximation is more accurate than several state-of-the-art approximations

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• In this regime, channel skewness cannot be neglected to get tight approximations

• A handful of decoding times in VLSF codes achieve rates close to those achieved by  $L = \infty$ 

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• For RACs, we achieve the same first- and second-order rates as if the active transmitters are known a priori

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 $\leftarrow$   $\Box$   $\rightarrow$   $\leftarrow$   $\leftarrow$   $\Box$   $\rightarrow$   $\rightarrow$   $\leftarrow$   $\rightarrow$   $\rightarrow$   $\rightarrow$   $\rightarrow$ 

• Rateless coding with stop-feedback enables this result

• Different ways of limiting the feedback in VLSF codes:



- ▶ Coarse feedback: Send  $R_f$  bits of feedback at each time<br>▶ In many applications, we can send bursty feedback
- In many applications, we can send bursty feedback

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• A tight converse for VLSF codes with  $L$  decoding times is missing

• Towards this goal, we have a non-asymptotic converse bound based on fundamental limits of SHTs This bound seems to be very challenging to analyze

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• Second-order converse for the RAC result:

- $\triangleright$  A second-order converse result for the K-MAC is also a converse result for the RAC
- ▶ However, it is a long-standing open problem
- ▶ [Kosut 22'] proves that the second-order term scales as  $-O(\sqrt{N})$

$$
K \log M^* = n_K I_K - O(\sqrt{n_K})
$$

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 $A \square$   $B$   $A$   $B$   $B$   $A$   $E$   $B$ 

# <span id="page-52-0"></span>Thank you!

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#### <span id="page-53-0"></span>Publications

- <sup>1</sup> R. C. Yavas, V. Kostina, and M. Effros, "Third-order analysis of channel coding in the moderate deviations regime," To be submitted to IT Transactions, Aug. 2022.
- <sup>2</sup> ——, "Third-order analysis of channel coding in the moderate deviations regime," in 2022 IEEE International Symposium on Information Theory (ISIT), June 2022.
- $\odot$  ——, "Variable-length sparse feedback codes for point-to-point, multiple access and random access channels," To be submitted to IT Transactions, Aug. 2022.
- <sup>4</sup> ——, "Gaussian multiple and random access channels: Finite-blocklength analysis," IEEE Transactions on Information Theory, vol. 67, no. 11, pp. 6983–7009, Nov. 2021
- <sup>5</sup> ——, "Nested sparse feedback codes for point-to-point, multiple access, and random access channels," in 2021 IEEE Information Theory Workshop (ITW), Oct. 2021, pp. 1–6.
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